



## EMERGENCY MANAGEMENT

**Matthew G. Bevin**  
Governor

100 Minuteman Parkway  
Frankfort, KY 40601-6168

**Michael E. Dossett**  
Director

October 12, 2018

Gracia B. Szczech  
Regional Administrator  
Federal Emergency Management Agency Region IV  
3003 Chamblee Tucker Road  
Atlanta, GA 30341

RE: Commonwealth of Kentucky Hazard Mitigation Plan 2018 Update Assurances

Dear Ms. Szczech:

The Commonwealth of Kentucky assures that it will manage and administer Federal Emergency Management Agency (FEMA) funding in accordance with applicable Federal statutes and regulations:

As a Recipient of FEMA funding, the Commonwealth of Kentucky assures that:

- Federal Financial Reports (FFRs) are submitted quarterly and are submitted to FEMA within 30 days of the end of each calendar quarter;
- Performance reports are submitted quarterly for each award;
- Awards will be closed out within the timeframe and using the process clarified in FEMA's Hazard Mitigation Assistance (HMA) Guidance that complies with relevant Codes of Federal Regulation (CFRs).

FEMA funding is administered through Kentucky Emergency Management (KYEM); a division of the Kentucky Department of Military Affairs. KYEM is a pass-through entity for FEMA funding.

As a pass-through entity for FEMA funding, KYEM assures that:

- Financial and programmatic reports are collected and reviewed;
- Every subaward is clearly identified to the subrecipient as a subaward and includes information required in 2 CFR Section 200.331 is ensured;
- Each subrecipient's risk of noncompliance with Federal statutes, regulations, and the terms and conditions of the subaward for purposes of determining the appropriate subrecipient monitoring described 2 CFR Section 200.331 is evaluated;
- Imposition of additional specific subaward conditions on a subrecipient, if appropriate, and notification to the subrecipient of such specific subaward conditions as described in 2 CFR Section 200.207 is considered;
- The activities of the subrecipient as necessary to ensure that the subaward is used for authorized purposes; that the activities are in compliance with Federal statutes,

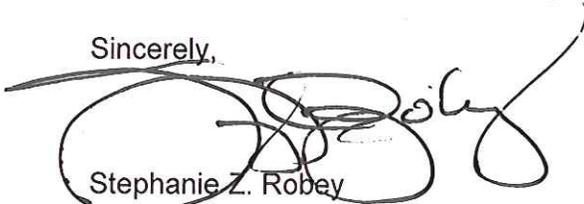
Gracia B. Szczech, Regional Administrator  
Federal Emergency Management Agency Region IV  
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regulations, and the terms and conditions of the subaward; and that subaward performance goals are achieved are monitored according to requirements found in 2 CFR Section 200.331;

- Every subrecipient is audited as required by 2 CFR Subpart F when it is expected that the subrecipient's Federal awards expended during the respective fiscal year equaled or exceeded the threshold set forth in 2 CFR Section 200.501 is verified;
- Results of the subrecipient's audits, on-site reviews, or other monitoring indicate conditions that necessitate adjustments to the pass-through entity's own records is considered; and
- Taking enforcement action against noncompliant subrecipients as described in 2 CFR Section 200.338 is considered; and
- Final reports will be submitted when it is determined that all administrative actions and required work have been completed for awards.

The Commonwealth of Kentucky assures that it will amend its enhanced hazard mitigation plan whenever necessary to reflect changes in state or Federal laws and statutes.

Sincerely,



Stephanie Z. Robey  
Deputy Governor's Authorized Representative

